

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/963,288 11/03/97 NORSTEDT G 10806-48

HOLLY D KOZLOWSKI  
DINSMORE AND SHOHL  
1900 CHEMED CENTER  
255 EAST FIFTH STREET  
CINCINNATI OH 45202

0232/0209

NOT ASSIGNED

DATE MAILED:

02/09/98

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130.00 for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ large entity ☐ small entity (verified statement filed), is \$ 1166.00.

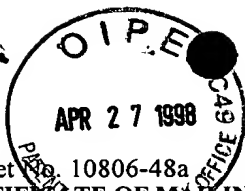
- ☒ 1. The statutory basic filing fee is:
  - ☒ missing.
  - ☐ insufficient.Applicant must submit \$ 790.00 to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).
- ☒ 2. Additional claim fees of \$ 246.00, including any multiple dependent claim fees, are required. Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.
- ☒ 3. The oath or declaration:
  - ☒ is missing.
  - ☐ does not cover the newly submitted items.
  - ☐ does not identify the application to which it applies.
  - ☐ does not include the city and state or foreign country of applicant's residence.An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.
- ☐ 4. The signature(s) to the oath or declaration is/are:
  - ☐ missing.
  - ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).
- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.
- ☐ 8. The application does not comply with the Sequence Rules.  
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."
- ☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**



2921

SECTOR #

Docket No. 10806-48a

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts; Assistant Commissioner of Patents, Washington, DC 20231 on April 22, 1998

Laureen E. Mains  
Laureen E. Mains

PATENT

#3

**IN THE UNITED STATES PATENT & TRADEMARK OFFICE**

Applicant: Gunnar Norstedt et al : Paper No.: \_\_  
Serial No.: 08/963,288 : Attention: BOX MISSING PARTS  
Filed: November 3, 1997 :  
For: **Improvement of an Expression Vector for Production of Recombinant Proteins**

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION-FILING DATE GRANTED**

BOX Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

RECEIVED

MAY 8 1998

MAIL ROOM  
SERVICE CENTER

Dear Sir:

In response to the Notice to File Missing Parts of Application-Filing Date Granted dated February 9, 1998, submitted herewith are the following:

- (1) Declaration and Power of Attorney signed by the Inventors;
- (2) The Government Application Filing Fee of \$1,036.00 (\$790.00 base fee; \$246.00 additional claim fee), by check;
- (3) The Government Surcharge Fee (37 CFR 1.16(e)) of \$130.00, by check;
- (4) A copy of the Notice to File Missing Parts of Application-Filing Date Granted, dated February 9, 1998;  

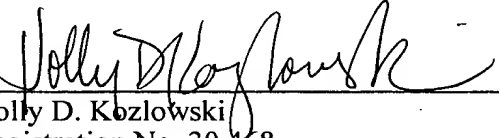
790.00 OP  
246.00 OP  
130.00 OP
- (5) A Request for a One-Month Extension of Time and \$110.00 extension fee, by check; and
- (6) A request for corrected official Filing Receipt.

05/05/1998 MPEPLES 00000012 08963288

01 FC:101  
02 FC:102  
03 FC:105

It is believed that this represents a complete response to the Notice. Please charge any additional fees required in connection with the present Response to Deposit Account No. 04-1133, and please credit any excess in fees paid to this Deposit Account.

Respectfully submitted,

By   
Holly D. Kozlowski  
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Attorney for Applicants  
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